

CHAPTER 7

Is Life Based on the Laws of Physics?

Si un hombre nunca se contradice, será porque nunca dice nada.¹

MIGUEL DE UNAMUNO (quoted from conversation)

NEW LAWS TO BE EXPECTED IN THE ORGANISM

What I wish to make clear in this last chapter is, in short, that from all we have learnt about the structure of living matter, we must be prepared to find it working in a manner that cannot be reduced to the ordinary laws of physics. And that not on the ground that there is any 'new force' or what not, directing the behaviour of the single atoms within a living organism, but because the construction is different from anything we have yet tested in the physical laboratory. To put it crudely, an engineer, familiar with heat engines only, will, after inspecting the construction of an electric motor, be prepared to find it working along principles which he does not yet understand. He finds the copper familiar to him in kettles used here in the form of long, long wires wound in coils; the iron familiar to him in levers and bars and steam cylinders is here filling the interior of those coils of copper wire. He will be convinced that it is the same copper and the same iron, subject to the same laws of Nature, and he is right in that. The difference in construction is enough to prepare him for an entirely different way of functioning. He will not suspect that an electric motor is driven by a ghost because it is set spinning by the turn of a switch, without boiler and steam.

¹If a man never contradicts himself, the reason must be that he virtually never says anything at all.

REVIEWING THE BIOLOGICAL SITUATION

The unfolding of events in the life cycle of an organism exhibits an admirable regularity and orderliness, unrivalled by anything we meet with in inanimate matter. We find it controlled by a supremely well-ordered group of atoms, which represent only a very small fraction of the sum total in every cell. Moreover, from the view we have formed of the mechanism of mutation we conclude that the dislocation of just a few atoms within the group of 'governing atoms' of the germ cell suffices to bring about a well-defined change in the large-scale hereditary characteristics of the organism.

These facts are easily the most interesting that science has revealed in our day. We may be inclined to find them, after all, not wholly unacceptable. An organism's astonishing gift of concentrating a 'stream of order' on itself and thus escaping the decay into atomic chaos – of 'drinking orderliness' from a suitable environment – seems to be connected with the presence of the 'aperiodic solids', the chromosome molecules, which doubtless represent the highest degree of well-ordered atomic association we know of – much higher than the ordinary periodic crystal – in virtue of the individual role every atom and every radical is playing here.

To put it briefly, we witness the event that existing order displays the power of maintaining itself and of producing orderly events. That sounds plausible enough, though in finding it plausible we, no doubt, draw on experience concerning social organization and other events which involve the activity of organisms. And so it might seem that something like a vicious circle is implied.

SUMMARIZING THE PHYSICAL SITUATION

However that may be, the point to emphasize again and again is that to the physicist the state of affairs is not only not plausible but most exciting, because it is unprecedented. Contrary to the common belief, the regular course of events,

governed by the laws of physics, is never the consequence of one well-ordered configuration of atoms – not unless that configuration of atoms repeats itself a great number of times, either as in the periodic crystal or as in a liquid or in a gas composed of a great number of identical molecules.

Even when the chemist handles a very complicated molecule *in vitro* he is always faced with an enormous number of like molecules. To them his laws apply. He might tell you, for example, that one minute after he has started some particular reaction half of the molecules will have reacted, and after a second minute three-quarters of them will have done so. But whether any particular molecule, supposing you could follow its course, will be among those which have reacted or among those which are still untouched, he could not predict. That is a matter of pure chance.

This is not a purely theoretical conjecture. It is not that we can never observe the fate of a single small group of atoms or even of a single atom. We can, occasionally. But whenever we do, we find complete irregularity, co-operating to produce regularity only on the average. We have dealt with an example in chapter 1. The Brownian movement of a small particle suspended in a liquid is completely irregular. But if there are many similar particles, they will by their irregular movement give rise to the regular phenomenon of diffusion.

The disintegration of a single radioactive atom is observable (it emits a projectile which causes a visible scintillation on a fluorescent screen). But if you are given a single radioactive atom, its probable lifetime is much less certain than that of a healthy sparrow. Indeed, nothing more can be said about it than this: as long as it lives (and that may be for thousands of years) the chance of its blowing up within the next second, whether large or small, remains the same. This patent lack of individual determination nevertheless results in the exact exponential law of decay of a large number of radioactive atoms of the same kind.

THE STRIKING CONTRAST

In biology we are faced with an entirely different situation. A single group of atoms existing only in one copy produces orderly events, marvellously tuned in with each other and with the environment according to most subtle laws. I said, existing only in one copy, for after all we have the example of the egg and of the unicellular organism. In the following stages of a higher organism the copies are multiplied, that is true. But to what extent? Something like 10^{14} in a grown mammal, I understand. What is that! Only a millionth of the number of molecules in one cubic inch of air. Though comparatively bulky, by coalescing they would form but a tiny drop of liquid. And look at the way they are actually distributed. Every cell harbours just one of them (or two, if we bear in mind diploidy). Since we know the power this tiny central office has in the isolated cell, do they not resemble stations of local government dispersed through the body, communicating with each other with great ease, thanks to the code that is common to all of them?

Well, this is a fantastic description, perhaps less becoming a scientist than a poet. However, it needs no poetical imagination but only clear and sober scientific reflection to recognize that we are here obviously faced with events whose regular and lawful unfolding is guided by a 'mechanism' entirely different from the 'probability mechanism' of physics. For it is simply a fact of observation that the guiding principle in every cell is embodied in a single atomic association existing only in one copy (or sometimes two) – and a fact of observation that it results in producing events which are a paragon of orderliness. Whether we find it astonishing or whether we find it quite plausible that a small but highly organized group of atoms be capable of acting in this manner, the situation is unprecedented, it is unknown anywhere else except in living matter. The physicist and the chemist, investigating inanimate matter, have never witnessed phenomena which they had to interpret in this way. The case did not arise and so our theory

does not cover it – our beautiful statistical theory of which we were so justly proud because it allowed us to look behind the curtain, to watch the magnificent order of exact physical law coming forth from atomic and molecular disorder; because it revealed that the most important, the most general, the all-embracing law of entropy increase could be understood without a special assumption *ad hoc*, for it is nothing but molecular disorder itself.

TWO WAYS OF PRODUCING ORDERLINESS

The orderliness encountered in the unfolding of life springs from a different source. It appears that there are two different ‘mechanisms’ by which orderly events can be produced: the ‘statistical mechanism’ which produces ‘order from disorder’ and the new one, producing ‘order from order’. To the unprejudiced mind the second principle appears to be much simpler, much more plausible. No doubt it is. That is why physicists were so proud to have fallen in with the other one, the ‘order-from-disorder’ principle, which is actually followed in Nature and which alone conveys an understanding of the great line of natural events, in the first place of their irreversibility. But we cannot expect that the ‘laws of physics’ derived from it suffice straightaway to explain the behaviour of living matter, whose most striking features are visibly based to a large extent on the ‘order-from-order’ principle. You would not expect two entirely different mechanisms to bring about the same type of law – you would not expect your latch-key to open your neighbour’s door as well.

We must therefore not be discouraged by the difficulty of interpreting life by the ordinary laws of physics. For that is just what is to be expected from the knowledge we have gained of the structure of living matter. We must be prepared to find a new type of physical law prevailing in it. Or are we to term it a non-physical, not to say a super-physical, law?

THE NEW PRINCIPLE IS NOT ALIEN TO PHYSICS

No. I do not think that. For the new principle that is involved is a genuinely physical one: it is, in my opinion, nothing else than the principle of quantum theory over again. To explain this, we have to go to some length, including a refinement, not to say an amendment, of the assertion previously made, namely, that all physical laws are based on statistics.

This assertion, made again and again, could not fail to arouse contradiction. For, indeed, there are phenomena whose conspicuous features are visibly based directly on the 'order-from-order' principle and appear to have nothing to do with statistics or molecular disorder.

The order of the solar system, the motion of the planets, is maintained for an almost indefinite time. The constellation of this moment is directly connected with the constellation at any particular moment in the times of the Pyramids; it can be traced back to it, or vice versa. Historical eclipses have been calculated and have been found in close agreement with historical records or have even in some cases served to correct the accepted chronology. These calculations do not imply any statistics, they are based solely on Newton's law of universal attraction.

Nor does the regular motion of a good clock or of any similar mechanism appear to have anything to do with statistics. In short, all purely mechanical events seem to follow distinctly and directly the 'order-from-order' principle. And if we say 'mechanical', the term must be taken in a wide sense. A very useful kind of clock is, as you know, based on the regular transmission of electric pulses from the power station.

I remember an interesting little paper by Max Planck on the topic 'The Dynamical and the Statistical Type of Law' ('Dynamische und Statistische Gesetzmässigkeit'). The distinction is precisely the one we have here labelled as 'order from order' and 'order from disorder'. The object of that paper was to show how the interesting statistical type of law, controlling large-scale events, is constituted from the

'dynamical' laws supposed to govern the small-scale events, the interaction of the single atoms and molecules. The latter type is illustrated by large-scale mechanical phenomena, as the motion of the planets or of a clock, etc.

Thus it would appear that the 'new' principle, the order-from-order principle, to which we have pointed with great solemnity as being the real clue to the understanding of life, is not at all new to physics. Planck's attitude even vindicates priority for it. We seem to arrive at the ridiculous conclusion that the clue to the understanding of life is that it is based on a pure mechanism, a 'clock-work' in the sense of Planck's paper. The conclusion is not ridiculous and is, in my opinion, not entirely wrong, but it has to be taken 'with a very big grain of salt'.

THE MOTION OF A CLOCK

Let us analyse the motion of a real clock accurately. It is not at all a purely mechanical phenomenon. A purely mechanical clock would need no spring, no winding. Once set in motion, it would go on for ever. A real clock without a spring stops after a few beats of the pendulum, its mechanical energy is turned into heat. This is an infinitely complicated atomistic process. The general picture the physicist forms of it compels him to admit that the inverse process is not entirely impossible: a springless clock might suddenly begin to move, at the expense of the heat energy of its own cog wheels and of the environment. The physicist would have to say: The clock experiences an exceptionally intense fit of Brownian movement. We have seen in chapter 2 (p. 16) that with a very sensitive torsional balance (electrometer or galvanometer) that sort of thing happens all the time. In the case of a clock it is, of course, infinitely unlikely.

Whether the motion of a clock is to be assigned to the dynamical or to the statistical type of lawful events (to use Planck's expressions) depends on our attitude. In calling it a dynamical phenomenon we fix attention on the regular going that can be secured by a comparatively weak spring, which

overcomes the small disturbances by heat motion, so that we may disregard them. But if we remember that without a spring the clock is gradually slowed down by friction, we find that this process can only be understood as a statistical phenomenon.

However insignificant the frictional and heating effects in a clock may be from the practical point of view, there can be no doubt that the second attitude, which does not neglect them, is the more fundamental one, even when we are faced with the regular motion of a clock that is driven by a spring. For it must not be believed that the driving mechanism really does away with the statistical nature of the process. The true physical picture includes the possibility that even a regularly going clock should all at once invert its motion and, working backward, rewind its own spring – at the expense of the heat of the environment. The event is just ‘still a little less likely’ than a ‘Brownian fit’ of a clock without driving mechanism.

CLOCKWORK AFTER ALL STATISTICAL

Let us now review the situation. The ‘simple’ case we have analysed is representative of many others – in fact of all such as appear to evade the all-embracing principle of molecular statistics. Clockworks made of real physical matter (in contrast to imagination) are not true ‘clock-works’. The element of chance may be more or less reduced, the likelihood of the clock suddenly going altogether wrong may be infinitesimal, but it always remains in the background. Even in the motion of the celestial bodies irreversible frictional and thermal influences are not wanting. Thus the rotation of the earth is slowly diminished by tidal friction, and along with this reduction the moon gradually recedes from the earth, which would not happen if the earth were a completely rigid rotating sphere.

Nevertheless the fact remains that ‘physical clock-works’ visibly display very prominent ‘order-from-order’ features – the type that aroused the physicist’s excitement when he encountered them in the organism. It seems likely that the two

cases have after all something in common. It remains to be seen what this is and what is the striking difference which makes the case of the organism after all novel and unprecedented.

NERNST'S THEOREM

When does a physical system – any kind of association of atoms – display ‘dynamical law’ (in Planck’s meaning) or ‘clock-work features’? Quantum theory has a very short answer to this question, viz. at the absolute zero of temperature. As zero temperature is approached the molecular disorder ceases to have any bearing on physical events. This fact was, by the way, not discovered by theory, but by carefully investigating chemical reactions over a wide range of temperatures and extrapolating the results to zero temperature – which cannot actually be reached. This is Walther Nernst’s famous ‘Heat Theorem’, which is sometimes, and not unduly, given the proud name of the ‘Third Law of Thermodynamics’ (the first being the energy principle, the second the entropy principle).

Quantum theory provides the rational foundation of Nernst’s empirical law, and also enables us to estimate how closely a system must approach to the absolute zero in order to display an approximately ‘dynamical’ behaviour. What temperature is in any particular case already practically equivalent to zero?

Now you must not believe that this always has to be a very low temperature. Indeed, Nernst’s discovery was induced by the fact that even at room temperature entropy plays an astonishingly insignificant role in many chemical reactions. (Let me recall that entropy is a direct measure of molecular disorder, viz. its logarithm.)

THE PENDULUM CLOCK IS VIRTUALLY AT ZERO TEMPERATURE

What about a pendulum clock? For a pendulum clock room temperature is practically equivalent to zero. That is the

reason why it works 'dynamically'. It will continue to work as it does if you cool it (provided that you have removed all traces of oil!). But it does not continue to work if you heat it above room temperature, for it will eventually melt.

THE RELATION BETWEEN CLOCKWORK AND
ORGANISM

That seems very trivial but it does, I think, hit the cardinal point. Clockworks are capable of functioning 'dynamically', because they are built of solids, which are kept in shape by London-Heitler forces, strong enough to elude the disorderly tendency of heat motion at ordinary temperature.

Now, I think, few words more are needed to disclose the point of resemblance between a clockwork and an organism. It is simply and solely that the latter also hinges upon a solid – the aperiodic crystal forming the hereditary substance, largely withdrawn from the disorder of heat motion. But please do not accuse me of calling the chromosome fibres just the 'cogs of the organic machine' – at least not without a reference to the profound physical theories on which the simile is based.

For, indeed, it needs still less rhetoric to recall the fundamental difference between the two and to justify the epithets novel and unprecedented in the biological case.

The most striking features are: first, the curious distribution of the cogs in a many-celled organism, for which I may refer to the somewhat poetical description on p. 79; and secondly, the fact that the single cog is not of coarse human make, but is the finest masterpiece ever achieved along the lines of the Lord's quantum mechanics.

EPILOGUE

On Determinism and Free Will

As a reward for the serious trouble I have taken to expound the purely scientific aspects of our problem *sine ira et studio*, I beg leave to add my own, necessarily subjective, view of the philosophical implications.

According to the evidence put forward in the preceding pages the space-time events in the body of a living being which correspond to the activity of its mind, to its self-conscious or any other actions, are (considering also their complex structure and the accepted statistical explanation of physico-chemistry) if not strictly deterministic at any rate statistico-deterministic. To the physicist I wish to emphasize that in my opinion, and contrary to the opinion upheld in some quarters, *quantum indeterminacy* plays no biologically relevant role in them, except perhaps by enhancing their purely accidental character in such events as meiosis, natural and X-ray-induced mutation and so on – and this is in any case obvious and well recognized.

For the sake of argument, let me regard this as a fact, as I believe every unbiased biologist would, if there were not the well-known, unpleasant feeling about ‘declaring oneself to be a pure mechanism’. For it is deemed to contradict Free Will as warranted by direct introspection.

But immediate experiences in themselves, however various and disparate they be, are logically incapable of contradicting each other. So let us see whether we cannot draw the correct, non-contradictory conclusion from the following two premises:

(i) My body functions as a pure mechanism according to the Laws of Nature.

(ii) Yet I know, by incontrovertible direct experience, that I am directing its motions, of which I foresee the effects, that may be fateful and all-important, in which case I feel and take full responsibility for them.

The only possible inference from these two facts is, I think, that I – I in the widest meaning of the word, that is to say, every conscious mind that has ever said or felt ‘I’ – am the person, if any, who controls the ‘motion of the atoms’ according to the Laws of Nature.

Within a cultural milieu (*Kulturkreis*) where certain conceptions (which once had or still have a wider meaning amongst other peoples) have been limited and specialized, it is daring to give to this conclusion the simple wording that it requires. In Christian terminology to say: ‘Hence I am God Almighty’ sounds both blasphemous and lunatic. But please disregard these connotations for the moment and consider whether the above inference is not the closest a biologist can get to proving God and immortality at one stroke.

In itself, the insight is not new. The earliest records to my knowledge date back some 2,500 years or more. From the early great Upanishads the recognition *ATHMAN = BRAHMAN* (the personal self equals the omnipresent, all-comprehending eternal self) was in Indian thought considered, far from being blasphemous, to represent the quintessence of deepest insight into the happenings of the world. The striving of all the scholars of Vedanta was, after having learnt to pronounce with their lips, really to assimilate in their minds this grandest of all thoughts.

Again, the mystics of many centuries, independently, yet in perfect harmony with each other (somewhat like the particles in an ideal gas) have described, each of them, the unique experience of his or her life in terms that can be condensed in the phrase: *DEUS FACTUS SUM* (I have become God).

To Western ideology the thought has remained a stranger, in spite of Schopenhauer and others who stood for it and in spite of those true lovers who, as they look into each other’s eyes, become aware that their thought and their joy are *numerically* one – not merely similar or identical; but they, as a

rule, are emotionally too busy to indulge in clear thinking, in which respect they very much resemble the mystic.

Allow me a few further comments. Consciousness is never experienced in the plural, only in the singular. Even in the pathological cases of split consciousness or double personality the two persons alternate, they are never manifest simultaneously. In a dream we do perform several characters at the same time, but not indiscriminately: we *are* one of them; in him we act and speak directly, while we often eagerly await the answer or response of another person, unaware of the fact that it is we who control his movements and his speech just as much as our own.

How does the idea of plurality (so emphatically opposed by the Upanishad writers) arise at all? Consciousness finds itself intimately connected with, and dependent on, the physical state of a limited region of matter, the body. (Consider the changes of mind during the development of the body, as puberty, ageing, dotage, etc., or consider the effects of fever, intoxication, narcosis, lesion of the brain and so on.) Now, there is a great plurality of similar bodies. Hence the pluralization of consciousnesses or minds seems a very suggestive hypothesis. Probably all simple, ingenuous people, as well as the great majority of Western philosophers, have accepted it.

It leads almost immediately to the invention of souls, as many as there are bodies, and to the question whether they are mortal as the body is or whether they are immortal and capable of existing by themselves. The former alternative is distasteful, while the latter frankly forgets, ignores or disowns the facts upon which the plurality hypothesis rests. Much sillier questions have been asked: Do animals also have souls? It has even been questioned whether women, or only men, have souls.

Such consequences, even if only tentative, must make us suspicious of the plurality hypothesis, which is common to all official Western creeds. Are we not inclining to much greater nonsense, if in discarding their gross superstitions we retain their naïve idea of plurality of souls, but 'remedy' it by declaring the souls to be perishable, to be annihilated with the respective bodies?

The only possible alternative is simply to keep to the immediate experience that consciousness is a singular of which the plural is unknown; that there *is* only one thing and that what seems to be a plurality is merely a series of different aspects of this one thing, produced by a deception (the Indian MAJA); the same illusion is produced in a gallery of mirrors, and in the same way Gaurisankar and Mt Everest turned out to be the same peak seen from different valleys.

There are, of course, elaborate ghost-stories fixed in our minds to hamper our acceptance of such simple recognition. E.g. it has been said that there is a tree there outside my window but I do not really see the tree. By some cunning device of which only the initial, relatively simple steps are explored, the real tree throws an image of itself into my consciousness, and that is what I perceive. If you stand by my side and look at the same tree, the latter manages to throw an image into your soul as well. I see my tree and you see yours (remarkably like mine), and what the tree in itself is we do not know. For this extravagance Kant is responsible. In the order of ideas which regards consciousness as a *singulare tantum* it is conveniently replaced by the statement that there is obviously only *one* tree and all the image business is a ghost-story.

Yet each of us has the indisputable impression that the sum total of his own experience and memory forms a unit, quite distinct from that of any other person. He refers to it as 'I'. *What is this 'I'?*

If you analyse it closely you will, I think, find that it is just a little bit more than a collection of single data (experiences and memories), namely the canvas *upon which* they are collected. And you will, on close introspection, find that what you really mean by 'I' is that ground-stuff upon which they are collected. You may come to a distant country, lose sight of all your friends, may all but forget them; you acquire new friends, you share life with them as intensely as you ever did with your old ones. Less and less important will become the fact that, while living your new life, you still recollect the old one. 'The youth that was I', you may come to speak of him in the third person, indeed the protagonist of the novel you are reading is

probably nearer to your heart, certainly more intensely alive and better known to you. Yet there has been no intermediate break, no death. And even if a skilled hypnotist succeeded in blotting out entirely all your earlier reminiscences, you would not find that he had killed *you*. In no case is there a loss of personal existence to deplore.

Nor will there ever be.

NOTE TO THE EPILOGUE

The point of view taken here levels with what Aldous Huxley has recently – and very appropriately – called *The Perennial Philosophy*. His beautiful book (London, Chatto and Windus, 1946) is singularly fit to explain not only the state of affairs, but also why it is so difficult to grasp and so liable to meet with opposition.